



PATENT 3693

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Balazs Kralik; Michael Goldbach; Paul Dagum

Assignee:

Rapt, Inc.

Title:

METHOD AND APPARATUS FOR ESTIMATION OF COMPONENT

GATING AND SHORTAGE RISK IN MANUFACTURING

OPERATIONS

Application No.:

09/942,249

Filed:

August 29, 2001

Examiner:

Johnna Ronee Loftis

Group Art Unit:

3623

Docket No.:

RAP0008US

Confirmation No.

6169

Austin, Texas August 21, 2006

Mail Stop <u>Amendment</u> Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)(2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 37 C.F.R. §1.98(a)(2), no copies of U.S. patents or U.S. patent applications are provided. Copies of any listed foreign patent documents, pending non-published U.S. patent applications, and non-patent literature are enclosed.

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Application No.: 09/942,249

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
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Please charge the fee of \$180.00 under 37 CFR §1.17(p) for submission of an Information Disclosure Statement (plus any other fees which may be required) to Deposit Account No. 502306.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia, 22313-1450, on August 21, 2006.

Respectfully submitted,

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U.S. Department Commerce, Patent and Trademark Office					Atty Docket		Appl. No.:		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Inventors:				
(Use several sheets if necessary)					Balazs Kralik, et al.				
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			211	Patent Documents			ــــــــــــــــــــــــــــــــــــــ		
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	CA	CA Paul Dagum, Michael Goldbach, and Balazs Kralik, "Demand statistical arbitrage with variants of the uniform production policy, Technical Report TR-14-00" (Confidential internal document), Rapt Technologies Corporation, January 2000, revised February 8, 2000, cover and pp. 1-13.							
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